



# Prediction of maximal oxygen uptake from 6-min walk test in pulmonary hypertension

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Although maximal oxygen uptake and the 6-min walk distance show good correlation in pulmonary hypertension, all predictive models are of inadequate accuracy for clinical use and cardiopulmonary exercise testing remains an irreplaceable tool <https://bit.ly/33HBQFk>

Cite this article as: Appenzeller P, Gautschi F, Müller J, *et al.* Prediction of maximal oxygen uptake from 6-min walk test in pulmonary hypertension. *ERJ Open Res* 2022; 8: 00664-2021 [DOI: 10.1183/23120541.00664-2021].

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Received: 25 Nov 2021  
Accepted: 16 Feb 2022

## Abstract

Maximal oxygen uptake ( $V'_{O_{2max}}$ ), assessed by cardiopulmonary exercise testing (CPET), is an important parameter for risk assessment in patients with pulmonary hypertension (PH). However, CPET may not be available for all PH patients. Thus, we aimed to test previously published predictive models of  $V'_{O_{2max}}$  from the 6-min walk distance (6MWD) for their accuracy and to create a new model.

We tested four models (two by Ross *et al.* (2010), one by Miyamoto *et al.* (2000) and one by Zapico *et al.* (2019)). To derive a new model, data were split into a training and testing dataset (70:30) and step-wise linear regression was performed. To compare the different models, the standard error of the estimate (SEE) was calculated and the models graphically compared by Bland–Altman plots. Sensitivity and specificity for correct prediction into low-risk classification ( $V'_{O_{2max}} > 15$  mL/min/kg) was calculated for all models.

A total of 276 observations were included in the analysis (194/82 training/testing dataset); 6MWD and  $V'_{O_{2max}}$  were significantly correlated ( $r=0.65$ ,  $p<0.001$ ). Linear regression showed significant correlation of 6MWD, weight and heart rate response (HRR) with  $V'_{O_{2max}}$  and the best fitting prediction equation was:  $V'_{O_{2max}} = 1.83 + 0.031 \times 6MWD$  (m)  $- 0.023 \times$  weight (kg)  $- 0.015 \times$  HRR (bpm). SEEs for the different models were 3.03, 3.22, 4.36 and 3.08 mL/min/kg for the Ross *et al.*, Miyamoto *et al.*, Zapico *et al.* models and the new model, respectively. Predicted mean  $V'_{O_{2max}}$  was 16.5 mL/min/kg (*versus* observed 16.1 mL/min/kg).

6MWD and  $V'_{O_{2max}}$  reveal good correlation in all models. However, the accuracy of all models is inadequate for clinical use. Thus, CPET and 6MWD both remain valuable risk assessment tools in the management of PH.

## Introduction

Pulmonary hypertension (PH) is a progressive disease, which may be idiopathic or associated with various conditions [1]. PH is characterised by progressive narrowing of the pulmonary vasculature with consecutive increase in pulmonary arterial pressure, eventually leading to right heart failure and premature death [2]. Patients with PH typically suffer from exercise limitation due to the inability to adequately increase the cardiac output, even at early stages of the disease. Measures of cardiorespiratory fitness can provide important information on the severity of functional impairment at diagnosis and are important to assess prognosis and response to therapies [3]. Measurements of maximal oxygen uptake ( $V'_{O_{2max}}$ ), obtained from cardiopulmonary exercise testing (CPET), stand in direct correlation with cardiac performance and thus reflect cardiorespiratory fitness [4, 5].  $V'_{O_{2max}}$  at physical exhaustion has been shown to be a valuable diagnostic and prognostic tool for a variety of diseases [4] including PH [6, 7], for which it acts as an independent predictor of survival [8]. However, correct measurement of  $V'_{O_{2max}}$  requires maximal effort of patients performing CPET; the performance of CPET may be impossible in everyday practice for some patients for logistical reasons, or due to patient factors or the contemporaneous



assessment of other exercise parameters, such as the 6-min walk distance (6MWD). Furthermore, CPET requires expensive equipment, trained healthcare professionals for conduction and interpretation of the test and is a time-consuming procedure.

Estimation of  $V'_{O_{2max}}$  from a simpler and widely available test, such as the 6-min walk test (6MWT), has increasingly become of interest, as it would provide a useful alternative to relatively complex CPET. The 6MWT is well established for patients with PH, is inexpensive, easy to perform, safe, well tolerated by patients [9] and has been shown to be an important predictor of survival [10]. Several studies have found a correlation between 6MWD and  $V'_{O_{2max}}$  in a variety of diseases [11–15]. One of the first estimations of  $V'_{O_{2max}}$  from variables obtained in 6MWT in patients with advanced heart failure was proposed by CAHALIN *et al.* [13] in 1996, who found that the distance ambulated in the 6MWT could not only predict  $V'_{O_{2max}}$  but also short-term survival. MIYAMOTO *et al.* [16] have shown that the 6MWD correlates well with maximal CPET assessments, such as  $V'_{O_{2max}}$ , and acts as a strong independent predictor of survival in PH. However, they did not suggest a prediction equation. ROSS *et al.* [14] extracted regression equations from published scatterplots (*e.g.* from MIYAMOTO *et al.* [16]) and determined a generalised equation to predict  $V'_{O_{2max}}$  from mean 6MWD in a group of patients with diverse cardiovascular diseases. When looking specifically at PH, we only found one study, by ZAPICO *et al.* [17], that has suggested a predictive model for  $V'_{O_{2max}}$  from 6MWD. They distinguished between three equations for children, adolescents and adults with primary PH. Predicting individual  $V'_{O_{2max}}$  would provide a useful and cost-effective tool for health professionals and researchers in the assessment and follow-up of PH patients, allowing easy insight into functional exercise capacity.

The aim of this study was to apply the equations proposed by Ross *et al.* [14] (individual and generalised), by MIYAMOTO [16] (as determined by Ross *et al.* [14]), and by ZAPICO [17], to our own dataset and to compare them to a new multivariate predictive model for  $V'_{O_{2max}}$  based on our own set of patients with PH.

## Methods

For this study, we used retrospective data from the Swiss Pulmonary Hypertension Registry at the University Hospital in Zurich, Switzerland. All observations of  $V'_{O_{2max}}$  from January 2012 until May 2021 that coincided with a 6MWT within 3 months in stable PH patients of all diagnostic groups (mainly pulmonary arterial hypertension (PAH) and chronic thromboembolic PH (CTEPH)), without change in medication, were included. To account for outliers and a potential ceiling effect [18, 19], observations with a 6MWD <200 m or >650 m and  $V'_{O_{2max}}$  <5 mL/min/kg or >25 mL/min/kg were excluded. There was no limitation to the number of observations per patient. All patients gave written informed consent and the Cantonal Ethics Review Board of Zurich gave their approval (BASEC nr. 2021-01006).

## Assessments

The 6MWT is routinely performed in our daily clinical setting, according to guidelines [9], walking back and forth in a hallway with 30 m markings. Standard procedures include measurements of blood pressure, heart rate and oxygen saturation before and at peak exercise. At the end of the test, a modified BORG scale (1 to 10) is used to determine the rating of perceived exhaustion.

CPET is performed in a sitting position on a cycle ergometer and metabolic measurements are collected using breath-by-breath analysis, which is then averaged in a 30 s range. End exercise variables are defined as the mean of the final 30 s of exercise. Depending on the individual patient's fitness, a ramp protocol with different Watt increments is used (10/15/20 Watts per min) to reach an approximate test duration of 10–12 min. All patients are instructed to cycle until exhaustion and measurements are done according to international recommendations [20]. As this is a retrospective clinical study in patients with chronic right heart failure, plateauing of  $V'_{O_{2max}}$  could not be verified in every patient and thus  $V'_{O_2}$  at the end of exercise was taken as  $V'_{O_{2max}}$  in accordance with the American Thoracic Society (ATS) statement [20]. The exercise protocols remained the same over the whole observation period.

## Statistical analysis

Data were statistically analysed using the program R (Version 4.0.1). All statistical tests were two-sided at the 5% statistical significance level with the corresponding confidence intervals of 95%. Normality distribution was assumed in a sample size of  $n > 30$  and data were graphically inspected.

## Applying existing regression models

At first, the relationship between  $V'_{O_{2max}}$  and 6MWD was graphically inspected for the entire dataset. Correlation was analysed using the Pearson correlation coefficient ( $r$ ). We tested the following four models for prediction of  $V'_{O_{2max}}$  from 6MWD:

- 1) Ross mean: mean  $V'_{O_{2max}} = 4.948 + 0.023 \times \text{mean 6MWD (m)}$  [14]
- 2) Ross:  $V'_{O_{2max}} = 4.682 + 0.025 \times \text{6MWD (m)}$  [14]
- 3) Miyamoto:  $V'_{O_{2max}} = 4.213 + 0.026 \times \text{6MWD (m)}$ ; determined by Ross *et al.* [14] based on data published by MIYAMOTO *et al.* [16]
- 4) Zapico:  $V'_{O_{2max}} = -21.626 + 0.026 \times \text{6MWD (m)} + 4.103 \times \text{sex} + 0.174 \times \text{height (cm)} - 0.071 \times \text{weight}$  [17]

Before further analysis, the data were randomly split at a 70:30 ratio into a training and a testing dataset [21], accounting for equal distribution of characteristics such as 6MWD, gender, diagnosis and age. The training dataset was used to determine a new predictive model, which was then, along with the other models, tested on the testing dataset.

#### Determining the multivariate regression model

Step-wise linear regression was used to determine the variables to be included in the multivariate regression model. Tested independent variables were: age, weight, height, heart rate response during 6MWT (HRR: maximal exercise heart rate – heart rate at rest), maximal heart rate and gender. If the slope of an independent variable was found to be significant ( $p < 0.05$ ), it was included in the multivariate regression model. Several models based on different methods were tested and compared, before choosing the best fitting model.

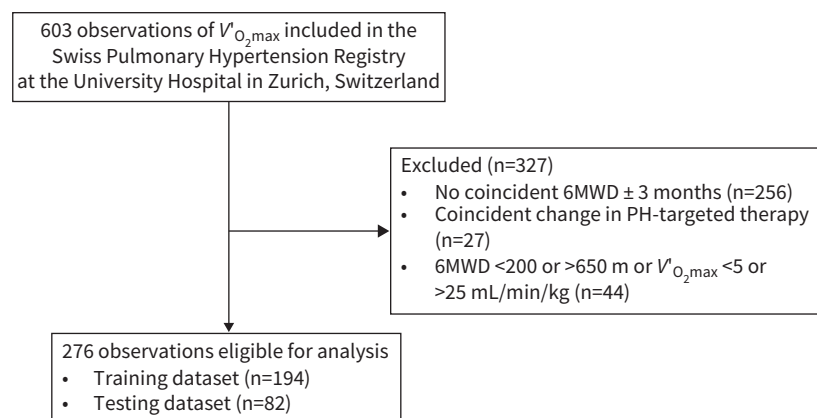
To compare the accuracy of the different models, the standard error of the estimate (SEE) and its proportion of mean  $V'_{O_{2max}}$  was calculated and data were graphically analysed. Bland–Altman graphs were used to visualise the difference between CPET results and the predictive models as two different approaches to determine  $V'_{O_{2max}}$ .

All models were tested on their ability to accurately classify patients into a low-risk profile (correct classification into  $V'_{O_{2max}} > 15 \text{ mL/min/kg}$ ) using confusion matrixes, calculations of sensitivity, specificity, positive predictive value (PPV) and negative predictive value (NPV).

## Results

We were able to include 603 observations of  $V'_{O_{2max}}$  from the Swiss Pulmonary Hypertension Registry at the University Hospital in Zurich, Switzerland. Of these, 256 observations had to be excluded due to missing coincident observations of 6MWD, 27 due to coincident change in PH-targeted therapy and 44 because 6MWD or  $V'_{O_{2max}}$  were not within the predefined range (6MWD  $< 200$  or  $> 650$  m or  $V'_{O_{2max}} < 5$  or  $> 25 \text{ mL/min/kg}$ ). This resulted in 276 observations eligible for analysis (fig. 1).

Characteristics for the training ( $n=194$ , 61% female) and testing ( $n=82$ , 71% female) dataset are shown in table 1. Both datasets showed a similar distribution of different diagnoses (PAH 60% versus 61%, CTEPH 33% versus 34% for training and testing dataset, respectively). Age (mean $\pm$ SD) was 59 $\pm$ 14 years for the training and 58 $\pm$ 15 years for the testing dataset. 6MWD (mean $\pm$ SD) and  $V'_{O_{2max}}$  (mean $\pm$ SD) were 504 $\pm$ 85 m and 15.3 $\pm$ 3.8 mL/min/kg for the training, and 503 $\pm$ 90 m and 16.1 $\pm$ 3.7 mL/min/kg for the testing dataset.



**FIGURE 1** Patient flow chart. 6MWD: 6-min walk distance; PH: pulmonary hypertension;  $V'_{O_{2max}}$ : maximal oxygen uptake.

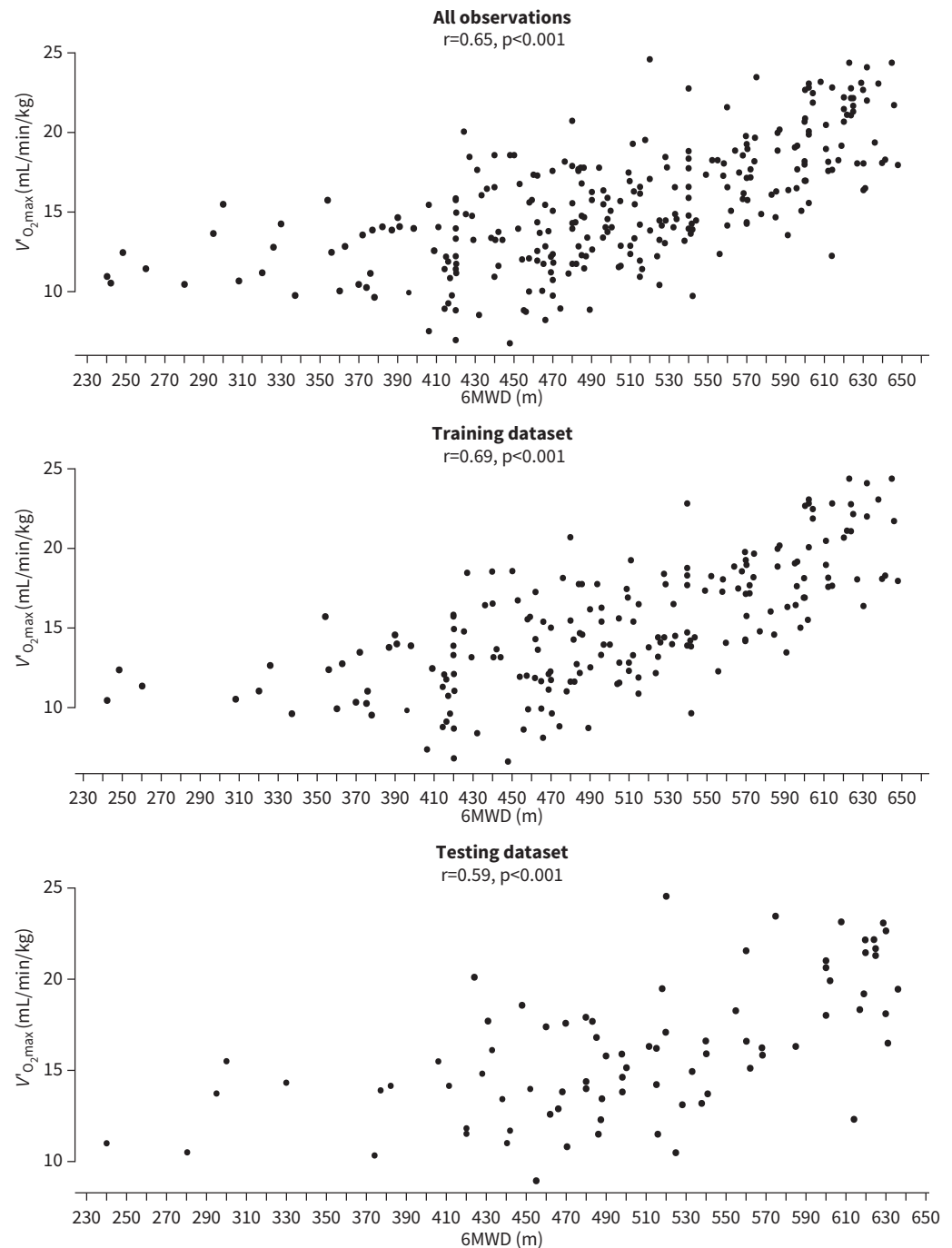
TABLE 1 Characteristics of the training and testing datasets

	Training dataset	Testing dataset
Observations n	194	82
Female	118 (61)	58 (71)
Diagnosis		
PAH	117 (60)	50 (61)
PH due to left heart disease	1 (1)	
PH due to lung disease	4 (2)	1 (1)
CTEPH	64 (33)	28 (34)
Miscellaneous	8 (4)	3 (4)
Haemodynamics at baseline		
mPAP, mmHg	42±4.1	39±13.0
PVR, mmHg	12±63	6±4
PAWP, mmHg	11±4	11±4
CI, mL/min/kg	2.8±0.8	2.9±0.7
Characteristics		
Age, years	59±14	58±15
Height, cm	169±11	169±10
Weight, cm	76±19	73±17
BMI, kg/m <sup>2</sup>	27±5	25±5
NYHA functional class	2.2±0.7	2.2±0.6
6-min walk test		
6-min walk distance, m	504±85	503±90
At rest		
Systolic BP, mmHg	125±18	120±14
Diastolic BP, mmHg	79±12	78±12
Heart rate, bpm	84±14	84±12
Peripheral oxygen saturation, %	96±3	96±3
At peak exercise		
Systolic BP, mmHg	145±25	142±26
Diastolic BP, mmHg	83±14	82±13
Heart rate, bpm	121±21	121±22
Heart rate response, bpm	37±19	37±20
Peripheral oxygen saturation, %	89±9.0	90±7.8
BORG CR10, score	4.7±2	4.6±2
Cardiopulmonary exercise test		
V <sub>O<sub>2</sub>max</sub> , mL/min/kg	15.3±3.8	16.1±3.7
Maximal workload, Watts	93±33	96±36

Data are presented as n (%) or mean±SD, unless otherwise stated. BMI: body mass index; BP: blood pressure; CI: cardiac index; CTEPH: chronic thromboembolic pulmonary hypertension; mPAP: mean pulmonary arterial pressure; NYHA: New York Heart Association; PAH: pulmonary arterial hypertension; PAWP: pulmonary arterial wedge pressure; PH: pulmonary hypertension; PVR: pulmonary vascular resistance; V<sub>O<sub>2</sub>max</sub>: maximal oxygen uptake.

6MWD and V<sub>O<sub>2</sub>max</sub> showed a significant correlation for the dataset overall (r=0.65, p<0.001), which remained true for the training and the testing dataset (r=0.69 and 0.59, respectively, both p<0.001) (fig. 2). Application of Ross's model to predict mean V<sub>O<sub>2</sub>max</sub> for the whole dataset resulted in 16.5 mL/min/kg (versus 15.5 mL/min/kg observed). The predictive equations by Ross *et al.* [14], MIYAMOTO *et al.* [16] and ZAPICO *et al.* [17] for individual V<sub>O<sub>2</sub>max</sub> resulted in an SEE of 6.27, 6.30 and 7.62 mL/min/kg (40%, 41% and 49% of mean V<sub>O<sub>2</sub>max</sub>), respectively when applied on the whole dataset.

Table 2 shows a comparison of the different prediction models. Coefficients, values for correlation (r) and p-values for Ross *et al.* [14], MIYAMOTO *et al.* [16] and ZAPICO *et al.* [17] were copied from the original publications. Based on our own data, we created a new model (R<sup>2</sup>=0.48) with the regression equation 1.83 + 0.031 × 6MWD (m) – 0.023 × weight (kg) – 0.015 × HRR (bpm). When applied to the testing dataset SEE was 3.03, 3.22, 4.36 and 3.08 mL/min/kg (19%, 20%, 27% and 19% of mean V<sub>O<sub>2</sub>max</sub>) for Ross *et al.* [14], MIYAMOTO *et al.* [16], ZAPICO *et al.* [17] and the new model, respectively. Predicted mean V<sub>O<sub>2</sub>max</sub> using the generalised equation suggested by Ross *et al.* [14] in the testing dataset was 16.5 mL/min/kg (versus 16.1± 3.7 mL/min/kg observed).



**FIGURE 2** Correlation between 6-min walk distance (6MWD) and maximal oxygen uptake ( $V'_{O_{2,max}}$ ). The three panels show the correlation for all observations, the training dataset and the testing dataset. Correlation coefficients ( $r$ ) and  $p$ -values are given for all three datasets separately.

Table 2 also provides information on sensitivity and specificity for the ability to correctly classify patients into a low-risk profile ( $V'_{O_{2,max}} > 15$  mL/min/kg) in all models. Sensitivity ranged from 91 to 96% for the existing models and was 69% for our new model, while specificity ranged from 22 to 23% for the existing models and was 78% for our new model. The PPV was 63% for all existing models and 82% for our new model.

Figure 3 shows the graphical comparison between observed  $V'_{O_{2,max}}$  in the testing dataset and predicted  $V'_{O_{2,max}}$  for our new model and the models of Ross *et al.* [14], Miyamoto *et al.* [16] and Zapico *et al.* [17]. Bland–Altman graphs comparing CPET and the predictive models as two approaches to determine  $V'_{O_{2,max}}$

TABLE 2 Coefficients of different linear regression models and application to testing data

	Ross <i>et al.</i> [14] mean (r=0.82)	p-value	Ross <i>et al.</i> [14] (r=0.59)	p-value	MIYAMOTO <i>et al.</i> [16] (r=0.68)	p-value	ZAPICO <i>et al.</i> [17] (R <sup>2</sup> =0.48)	p-value	New model (R <sup>2</sup> =0.48)	p-value
Observations n	1038		1083		27		82		194	
Intercept	4.948		4.682		4.213		-21.626		1.83	0.248
6MWD, m	0.023		0.025		0.026		0.026	<0.001	0.031	<0.001
Sex							4.103	0.002		
Height, cm							0.174	0.043		
Weight, kg							-0.071	0.02	-0.023	0.22
HRR, bpm									-0.015	0.047
<b>Applied to testing dataset</b>										
SEE, mL/min/kg			3.03		3.22		4.36		3.08	
SEE% mean			19%		20%		27%		19%	
<b>Ability to classify to low risk (<math>V'_{O_2max} &gt; 15</math> mL/min/kg)</b>										
Sensitivity			0.96		0.96		0.91		0.69	
Specificity			0.23		0.23		0.22		0.78	
PPV			0.63		0.63		0.63		0.82	
NPV			0.80		0.80		0.64		0.64	

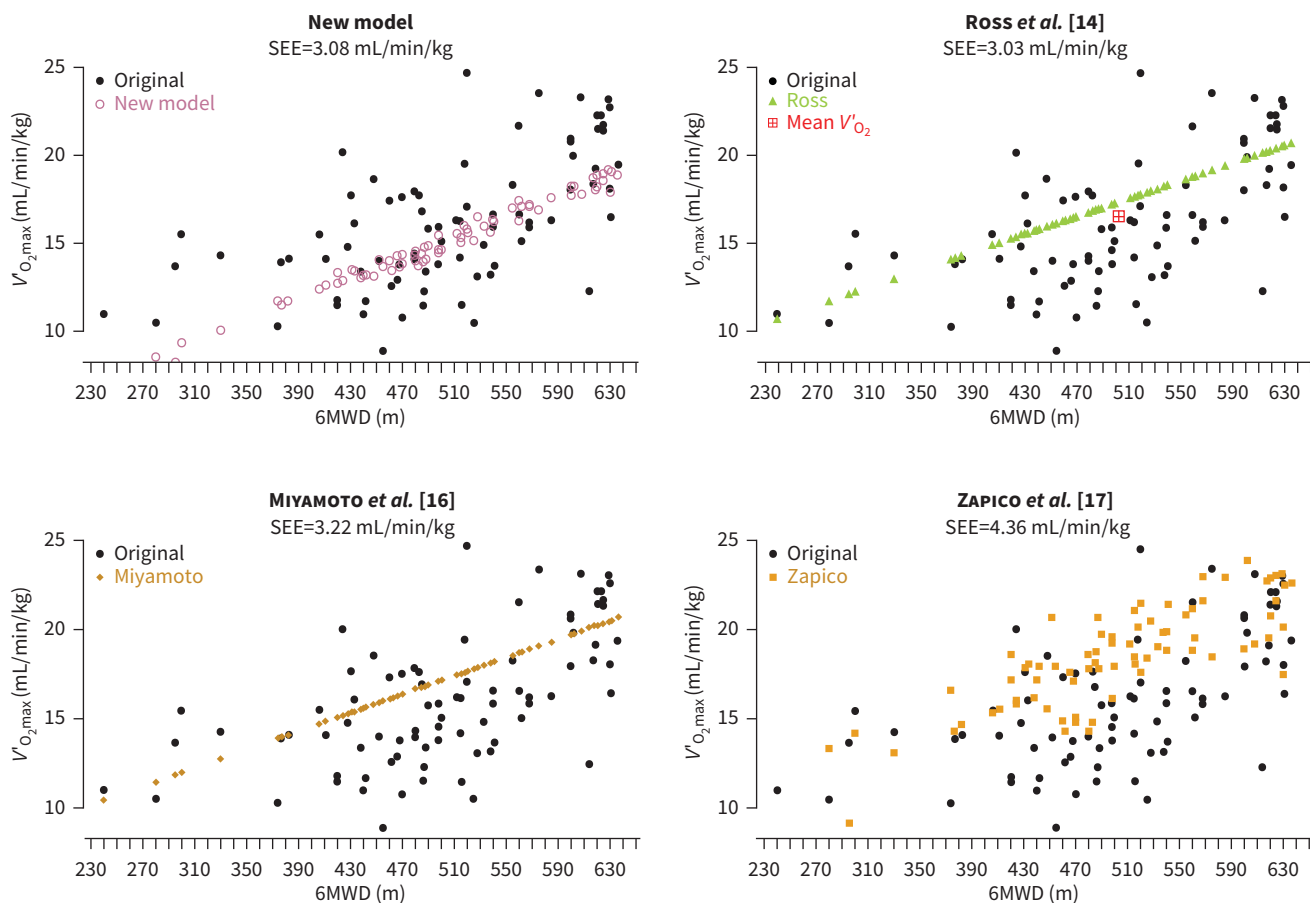
r from correlation between maxima oxygen uptake ( $V'_{O_2max}$ ) and 6-min walk distance (6MWD); R<sup>2</sup> from linear regression of  $V'_{O_2max}$  against 6MWD. HRR: heart rate response in 6-min walk test (heart rate at maximal exercise - heart rate at rest); NPV: negative predictive value; PPV: positive predictive value; SEE: standard error of the estimate; SEE% mean: SEE as percentage of mean peak oxygen uptake. All coefficients, p-values and R<sup>2</sup> for Ross *et al.* [14], MIYAMOTO *et al.* [16] and ZAPICO *et al.* [17] are copied from the original publications.

are shown in figure 4. For the new model, bias (95% CI) was 1.08 (0.40 to 1.75) mL/min/kg with an upper limit of agreement (ULoA) (95% CI) of 6.89 (5.73 to 8.04) and a lower limit of agreement (LLoA) of -4.72 (-5.89 to -3.58). Bias, ULoA and LLoA were -1.12 (-1.77 to 0.46) mL/min/kg, 4.73 (3.60 to 5.85), -6.96 (-8.09 to -5.84) for the model of Ross *et al.* [14], -1.15 (-1.80 to -0.49) mL/min/kg, 4.7 (3.58 to 5.83), -7.00 (-8.13 to -5.88) for MIYAMOTO *et al.* [16] and -2.34 (-3.18 to -1.49) mL/min/kg, 5.06 (3.60 to 6.52) and -9.74 (-11.20 to -8.28) for ZAPICO *et al.* [17].

## Discussion

The current analysis shows that the distance ambulated in the 6MWT and  $V'_{O_2max}$  were significantly correlated. The newly generated predictive equation and three previously published ones [14, 16, 17] were all able to predict  $V'_{O_2max}$  from demographics and the 6MWT with varying accuracy (SEE ranging from 3.03 to 4.36 mL/min/kg). Unfortunately, we judge this inaccuracy in the prediction of an individual's  $V'_{O_2max}$  as insufficient for clinical use. With the new prediction model derived from our own dataset, we could markedly increase the specificity and PPV to predict a favourable  $V'_{O_2max} > 15$  mL/min/kg from 6MWD compared to published equations.

Our new predictive model for determination of  $V'_{O_2max}$  from 6MWD and demographics revealed an SEE of 3.08 mL/min/kg and thus showed similar accuracy to the published model of Ross *et al.* [14] with a SEE of 3.03 mL/min/kg, which was the most accurate from the tested equations. It seems that the model of Ross *et al.* [14] generally overestimates  $V'_{O_2max}$  in our population (see fig. 3). Ross *et al.* [14] based their equation on a set of 11 populations with a mean 6MWD of 392 m and mean  $V'_{O_2max}$  of 13.9 mL/min/kg. In our dataset mean 6MWD is surprisingly high at 504 m, corresponding to 94% of predicted reference values for 6MWD [22], while mean  $V'_{O_2max}$  (15.3 mL/min/kg) still shows a relevant impairment of respiratory capacity. The large difference in walking distance compared to the smaller difference in  $V'_{O_2max}$  could explain why the models of Ross *et al.* [14] and MIYAMOTO *et al.* [16], although having similar SEE (approximately 20% of mean  $V'_{O_2max}$ ), overestimate an individual's  $V'_{O_2max}$  in our dataset. The model of ZAPICO *et al.* [17], although being multivariate, shows less accurate prediction of  $V'_{O_2max}$  (SEE 4.36 mL/min/kg, or 27% of mean  $V'_{O_2max}$ ). They based their equation on a substantially younger population than our own, with a mean age of 27±6.7 years (*versus* 59±14 years). However, despite similar mean  $V'_{O_2max}$  (16.03±6 mL/min/kg), mean 6MWD was again lower than in our own population (459±115 m) [17]. Also compared to the French Pulmonary Hypertension Registry, where the mean 6MWD was 329±109 m [23], or the UK Registry where the mean 6MWD was 292.4±123 m [24], our study population showed a higher mean 6MWD, which has already been previously shown in the Swiss Registry [25]. It is known that 6MWD shows a ceiling effect at higher values due to a general limitation in walking speed. Some studies

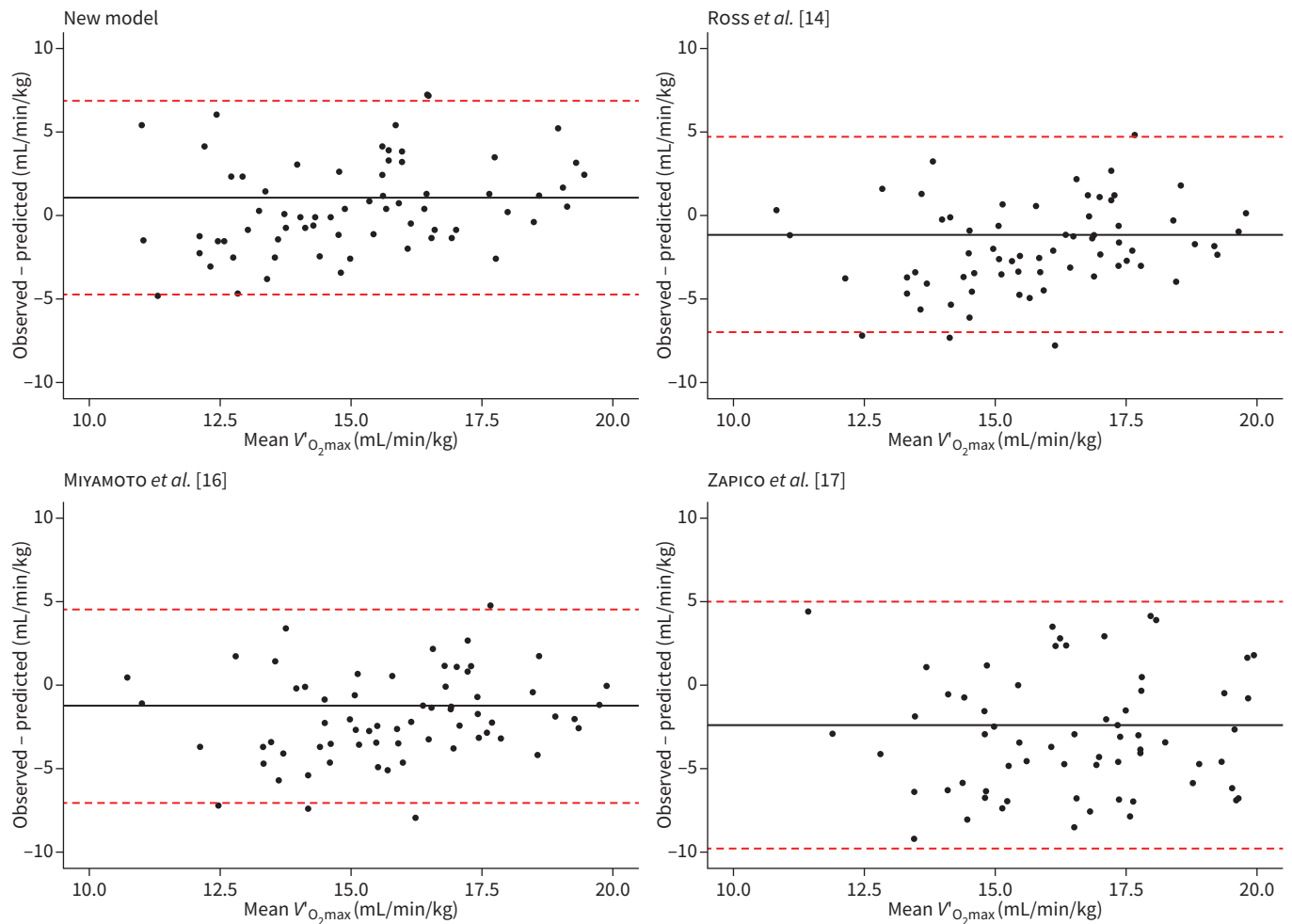


**FIGURE 3** Comparison of predictive models. The panels show the predicted values for maximal oxygen uptake ( $V'_{O_{2,max}}$ ) against 6-min walk distance (6MWD) for each model separately. In each panel, the original data from the testing dataset is depicted for comparison; standard error of the estimate (SEE) for each model is shown below the panel headers. Mean oxygen uptake ( $V'_{O_2}$ ) as determined by the generalised equation by Ross *et al.* [14] is shown in the corresponding panel.

suggest that this ceiling effect in PH patients can be detected starting from 450 m onwards [18, 26]. A potential ceiling effect would suggest that variability in  $V'_{O_{2,max}}$  would increase with increasing 6MWD. However, contrary to patients with chronic heart failure [15], where the 6MWD could not accurately predict  $V'_{O_{2,max}}$  in patients with 6MWD >500 m, in our population the correlation between  $V'_{O_{2,max}}$  and 6MWD was moderate to good in observations with 6MWD >500 m and only fair for <500 m ( $r=0.64$ ,  $p<0.001$  versus  $r=0.26$ ,  $p=0.001$ ) and showed less variability. This has already been established in patients with mild chronic heart failure [19], yet the reason remains unclear.

All of the models showed a SEE of over 3 mL/min/kg. While there is still little information about the minimal clinically important difference (MCID) for  $V'_{O_{2,max}}$  in PH, rehabilitation was shown to improve  $V'_{O_{2,max}}$  by 1.5 mL/min/kg [27]. Accordingly, the SEE of over 3 mL/min/kg appears to be clearly above a MCID level and thus relevant, which suggests that the variation of all models for the individual  $V'_{O_{2,max}}$ , as depicted by SEE, is too large for clinical use, and meaningful changes in true  $V'_{O_{2,max}}$  might not be detected. As for 6MWD, the MCID is around 25–33 m [27, 28], which would correspond to an increase in  $V'_{O_{2,max}}$  of 0.83 mL/min/kg as predicted by the model of Ross *et al.* [14]. In the Bland–Altman analysis all the models showed only a small bias, ranging from  $-2.34$  ( $-3.18$  to  $-1.49$ ) to  $1.08$  ( $0.40$  to  $1.75$ ) mL/min/kg. Most of the measurements remain within the limits of agreement, which were defined as two times the SD of mean differences, showing good agreement of the two models. However, as already established above, one can see that the models generally overestimate mean  $V'_{O_{2,max}}$ . In addition, the upper and lower limits of agreement are too large, showing inadequate precision of the models.

We further examined whether the models, despite being unable to assess an individual's  $V'_{O_{2,max}}$  accurately, were able to classify patients correctly to low- or high-risk groups as defined by the PH risk



**FIGURE 4** Bland–Altman graphs for the comparison of maximal oxygen uptake ( $V'_{O_{2max}}$ ) determined by cardiopulmonary exercise testing *versus* using a predictive model based on 6-min walk test. The x-axis shows the calculated mean between the observed and the predicted values for  $V'_{O_{2max}}$  while the y-axis shows the difference between the two methods. The line corresponds to the mean difference in  $V'_{O_{2max}}$  and the two dashed lines show the 95% confidence interval. Each panel shows a different model as indicated by the panel's headers.

stratification model, where a  $V'_{O_{2max}}$  of  $>15$  mL/min/kg classifies as low risk, and corresponds to an estimated 1-year mortality of  $<5\%$  [29]. The models of ROSS *et al.* [14], MIYAMOTO *et al.* [16] and ZAPICO *et al.* [17] all showed high sensitivity (91–96%) but very low specificity (22–23%), supporting the claim that these models overestimate  $V'_{O_{2max}}$  in our data, resulting in many falsely positive classifications to the low-risk group, which would be very unfavourable for clinical use. Our own model had a sensitivity of 69% and specificity of 78%, suggesting a poorer predictive ability, but fewer false-positive results than the other models, meaning fewer patients wrongly classified to the low-risk group.

Previous studies in patients with cardiac disease have shown that  $V'_{O_{2max}}$  cannot accurately be predicted from the 6MWT [30, 31]. Ross *et al.* [14] found a SEE of 3.82 mL/min/kg over a variety of diseases and exercise protocols, suggesting that predicting an individual's  $V'_{O_{2max}}$  shows poor accuracy independent of underlying disease. Our results are in line with these findings and extend them to PH patients. When we applied the generalised equation to predict the mean  $V'_{O_{2max}}$  of a population suggested by Ross *et al.* [14] to our own data, the resulting mean was 16.5 mL/min/kg, which is only 0.4 mL/min/kg higher than the actual mean of 16.1 mL/min/kg. Thus, this population mean equation is substantially more accurate than the prediction for individual  $V'_{O_{2max}}$  but has less clinical use.

Recent discussions have brought up the question of whether it is clinically important to measure  $V'_{O_{2max}}$  in PH as drug studies showed contradicting results. Clinical trials leading to regulatory approval of most drugs to treat PH used the 6MWD as the main outcome, whereas contemporary sequential, add-on drug



combination therapy trials mainly used composite end-points [32].  $V'_{O_{2max}}$  as main outcome used in the Stride-1 study did not result in a significant difference between PAH patients treated with sitaxsentan versus placebo, whereas the 6MWD as secondary outcome was different [33]. Part of this discrepancy was attributed to the centre's experience with CPET [11]. In a recent multicentre randomised study, a standardised training programme in PH resulted in significant improvements of both 6MWD and  $V'_{O_{2max}}$  [34]. Some of the discrepancy between 6MWD and CPET measures may be attributed to the experience of investigators and patients, time lag between tests and other logistics; others may simply reflect that these two tests do not measure the same physiobiological process. However, due to the correlation between these two measurements, the 6MWD may still be used as a simple surrogate to  $V'_{O_{2max}}$  [35], even if its prediction has been shown to be inaccurate.

A limitation of our study is that our population included very few severely limited patients, with a presumably very low  $V'_{O_{2max}}$ , for whom a prediction equation would be more important because they may not be able to perform CPET due to the need for oxygen supplementation. Another limitation is that we included coincident observation ranging up to a 3 month time difference, rather than only including observations obtained on the same day. However, in clinical practice, performing several exercise tests contemporaneously might not be possible, and due to the retrospective nature of our data, we could not guarantee that the observations were actually from the same day. Further, we see a limitation in the fact that some patients had several observations that were included in the analysis. It would have been interesting to include more CPET variables in the analysis, but our registry did not provide more detailed data.

### Conclusion

Although the good correlation between 6MWD and  $V'_{O_{2max}}$  allows for the development of a predictive model based on regression equations, all proposed models show inadequate accuracy for clinical use in individual patients or classification into the low-risk group. The prediction of mean  $V'_{O_{2max}}$  with the generalised equation proposed by Ross *et al.* [14] is highly accurate, in the present study also, which supports their statement that the equation can be used to predict mean  $V'_{O_{2max}}$  in a population of patients from its mean 6MWD. While the 6MWD remains an important tool to assess prognosis and survival in PH, the 6MWD cannot be used to predict  $V'_{O_{2max}}$  for individual PH patients and thus cannot replace CPET. Besides  $V'_{O_{2max}}$ , CPET allows for deeper insights into the underlying pathophysiological mechanisms of exercise limitation and can play a greater role in the diagnosis and management of PH.

Provenance: Submitted article, peer reviewed.

Author contributions: P. Appenzeller and S. Ulrich contributed to the conception of the design of the study, data acquisition, analysis and interpretation, and drafting of the manuscript. E.I. Schwarz, F. Gautschi, J. Müller, M. Lichtblau, S. Sazer, S.R. Schneider and S. Ulrich contributed to the critical revision of the manuscript and provided final approval before publishing.

Conflict of interest: The authors have no conflicts of interest in relation to the present work. S. Ulrich has received research grants outside this work from the Swiss National Science Foundation, Swiss and Zurich Lung Leagues, and Orpha Swiss; and honoraria for lectures and support for attending meetings from Actelion/Janssen SA, MSD SA and Orpha Swiss.

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