



Prognostic significance of pulmonary function tests in dyskeratosis congenita, a telomere biology disorder

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ABSTRACT Pulmonary fibrosis and pulmonary arteriovenous malformations are known manifestations of dyskeratosis congenita (DC), a telomere biology disorder (TBD) and inherited bone marrow failure syndrome caused by germline mutations in telomere maintenance genes resulting in very short telomeres. Baseline pulmonary function tests (PFTs) and long-term clinical outcomes have not been thoroughly studied in DC/TBDs.

In this retrospective study, 43 patients with DC and 67 unaffected relatives underwent baseline PFTs and were followed for a median of 8 years (range 1–14). Logistic regression and competing risk models were used to compare PFT results in relation to clinical and genetic characteristics, and patient outcomes.

Restrictive abnormalities on spirometry and moderate-to-severe reduction in diffusing capacity of the lung for carbon monoxide were significantly more frequent in patients with DC than relatives (42% *versus* 12%; $p=0.008$). The cumulative incidence of pulmonary disease by age 20 years was 55% in patients with DC with baseline PFT abnormalities compared with 17% in those with normal PFTs ($p=0.02$). None of the relatives developed pulmonary disease. X-linked recessive, autosomal recessive inheritance or heterozygous *TINF2* variants were associated with early-onset pulmonary disease that mainly developed after haematopoietic cell transplantation (HCT). Overall, seven of 14 patients developed pulmonary disease post-HCT at a median of 4.7 years (range 0.7–12). The cumulative incidence of pulmonary fibrosis in patients with heterozygous non-*TINF2* pathogenic variants was 70% by age 60 years.

Baseline PFT abnormalities are common in patients with DC and associated with progression to significant pulmonary disease. Prospective studies are warranted to facilitate clinical trial development for patients with DC and related TBDs.

 @ERSpublications

About 40% of patients with dyskeratosis congenita, a telomere biology disorder, have abnormal pulmonary function tests and progress to life-threatening pulmonary disease (PD). Prospective therapeutic studies of PD in these disorders are urgently needed. <http://bit.ly/2HBSNCO>

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De-identified individual patient data will be available after completion of institutional review board-approved data transfer agreements between collaborating institutions.

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Introduction

Dyskeratosis congenita (DC) is a telomere biology disorder (TBD) with a spectrum of associated medical complications including bone marrow failure (BMF), liver fibrosis, pulmonary fibrosis (PF), pulmonary arteriovenous malformations (PAVMs), and high cancer risk [1–4]. DC is clinically diagnosed by the classic triad of oral leukoplakia, abnormal skin pigmentation and nail dysplasia, but the appearance and age at onset of these features are highly variable [5]. The clinical spectrum of DC-related TBDs include Hoyeraal–Hreidarsson syndrome (HH), which has features of DC plus cerebellar hypoplasia, immunodeficiency and intrauterine growth restriction (IUGR), and Revesz syndrome (RS), which is associated with bilateral exudative retinopathy, IUGR, and cerebral calcifications [6–8].

The clinical manifestations of TBDs are broad, due to different inheritance modes and variable genetic penetrance and expressivity. Pathogenic germline variants in dyskerin (*DKC1*) cause X-linked recessive (XLR) disease. *TERT*, *RTEL1*, *ACD*, and *PARN* are associated with both autosomal dominant (AD) and autosomal recessive (AR) disease. *NOP10*, *NHP2*, *CTC1*, *STN1* and *POT1* result in AR DC. AD disease is associated with *TINF2*, *TERC* and *NAF1*. *TINF2* mutations are often *de novo* in the proband. All patients with DC and related TBDs have very short telomeres for their age; the shortest telomeres are in those presenting early in childhood with more symptoms and XLR, AR or *TINF2* DC [1, 2, 9].

Sporadic and familial PF associated with AD inheritance of pathogenic variants in *TERT*, *TERC*, *RTEL1*, *PARN* or *NAF1* comprise the older-age-at-onset end of the TBD clinical spectrum, as they usually develop in the fifth to sixth decade of life [10–14]. The interstitial lung abnormalities seen on computed tomography (CT) scans in these patients often show a pattern consistent with usual interstitial pneumonia (UIP), but atypical patterns have been reported [15]. PF in younger patients with DC has been described after haematopoietic cell transplantation (HCT) [16]. PAVMs are being increasingly recognised in patients with DC who may or may not have received an HCT, and may occur alone or in the setting of hepatopulmonary syndrome [17, 18].

In this study, we determined baseline pulmonary function in 43 patients with DC/TBD and 67 unaffected relatives and correlated the findings with the underlying genetics and clinical outcomes to better understand the manifestations of pulmonary disease in TBDs.

Methods

Study design and participants

This is a retrospective and prospective observational study of individuals who were participants in the National Cancer Institute's (NCI) Institutional Review Board-approved longitudinal cohort study of inherited BMF syndromes (ClinicalTrials.gov identifier: NCT00027274; <https://marrowfailure.cancer.gov>) [3, 19]. All participants, or their guardians, provided written informed consent at enrolment in accordance with Health and Human Services regulation 45 CFR 46.

Pulmonary function tests (PFTs) were performed by all patients with DC and their unaffected first-degree relatives who were 6 years of age or older when evaluated at the National Institutes of Health (NIH) Clinical Center in Bethesda, MD between January 2002 until December 2015. The participants were followed through December 31, 2016.

The clinical diagnosis of DC was based on the presence of two mucocutaneous triad features or one triad feature plus BMF [20], and/or very short telomeres (<1st percentile for age) in peripheral blood lymphocyte subsets by flow cytometry with fluorescent *in situ* hybridisation (flow FISH) [21], and confirmed by identification of germline pathogenic variants in DC/TBD genes in the majority of patients. The unaffected relatives had a normal peripheral blood telomere length and no disease-associated pathogenic variants (in families with a known gene defect) or carried one pathogenic variant associated with AR disease in the proband of their family.

PFTs and outcome assessment

All participants underwent PFTs at the pulmonary function laboratory at the NIH Clinical Center according to the American Thoracic Society/European Respiratory Society (ATS/ERS) Task Force standardisation of lung function testing [22, 23]. Spirometry, lung volumes (using body plethysmography or nitrogen washout technique), and single-breath diffusing capacity of the lung for carbon monoxide (D_{LCO}) were obtained using the CareFusion V_{max} Encore System (version 28–4). PFT data were merged with each subject's demographic, laboratory and clinical details in an Excel file.

Each PFT parameter was corrected for age, sex, height and ethnicity using published reference equations as per the ATS/ERS Task force recommendations [24]. D_{LCO} was corrected for haemoglobin. Forced vital capacity (FVC), forced expiratory volume in 1 s (FEV_1), FEV_1/FVC ratio and total lung capacity (TLC) were used to interpret lung function using the ATS/ERS Task Force algorithm [24]. All PFT results were

reviewed and interpreted by a pulmonologist. A PFT value <5th percentile of the predicted normal value for age, sex, height and ethnicity was considered abnormal and was stated as less than the lower limit of normal (<LLN). Classification and grading of obstructive, restrictive or mixed abnormalities were based on the ATS/ERS guidelines [24]. A significant PFT abnormality was defined as abnormal spirometry and/or moderate or severe reduction in D_{LCO} .

Individuals with history or clinical findings suggestive of lung involvement at the time of evaluation, or those who became symptomatic later, had further testing with high-resolution CT (HRCT) of lungs plus or minus transthoracic contrast echocardiography (TTCE) with agitated saline bubble, as clinically indicated. HRCT was only done if the patient was symptomatic in order to limit unnecessary medical radiation exposure. HRCTs were reviewed by expert radiologists and pulmonologists. PF was diagnosed by characteristic interstitial lung findings on HRCT [15]. PAVMs were diagnosed by a delay in the appearance of microbubbles in the left heart by more than three beats on TTCE after appearance in the right heart, indicating a right-to-left shunt [25].

Analysis

The study schema is detailed in figure 1. The characteristics of patients and unaffected relatives were compared by a Mann–Whitney U-test for continuous variables and a Fisher’s exact test for categorical variables. The PFT results of patients with AR, XLR and AD *TINF2* DC were compared with those of AD non-*TINF2* DC, due to known differences in their disease manifestations, severity and age at diagnosis [1, 9, 26]. The PFT results of unaffected heterozygous carrier relatives with variants in *RTEL1*, *TERT* and *PARN* were also compared with all other relatives because PF has been reported in individuals heterozygous for pathogenic variants in these genes [14, 27, 28].

We used logistic regression models and calculated the odds ratios (ORs) and 95% confidence intervals (CIs) of PFT abnormalities in relation to patient characteristics. Factors evaluated included DC inheritance (AR/XLR/*TINF2* versus AD non-*TINF2*), DC mucocutaneous triad (0–1 versus 2–3 features), smoking history, sex, age at PFT, lymphocyte telomere length Z-score, and degree of BMF (severe versus none/moderate as described previously [21]). We also evaluated the association of baseline PFT abnormalities with subsequent development of clinical pulmonary disease. Patients who received HCT prior to the baseline PFT study were excluded from analyses involving phenotypic characteristics and baseline PFT data but were included in analyses evaluating the association of HCT with pulmonary disease. We used the cumulative incidence estimator to calculate the cumulative incidence of pulmonary disease considering death from other causes as a competing risk. Follow-up started with age at PFT and ended at the earliest incidence of pulmonary disease, death or end of follow-up. We determined the association of pulmonary disease with HCT, and outcomes according to inheritance patterns with censoring at the first adverse event (HCT, pulmonary disease or death). Follow-up for the adverse event analysis started at birth.

Statistical analyses were performed using Stata 14.2 (StataCorp, College Station, TX, USA), SAS (SAS Institute, Cary, NC, USA), and R (version 3.3.0; R Foundation for Statistical Computing, Vienna, Austria). All statistical tests were two-sided with statistical significance defined as p-values <0.05.

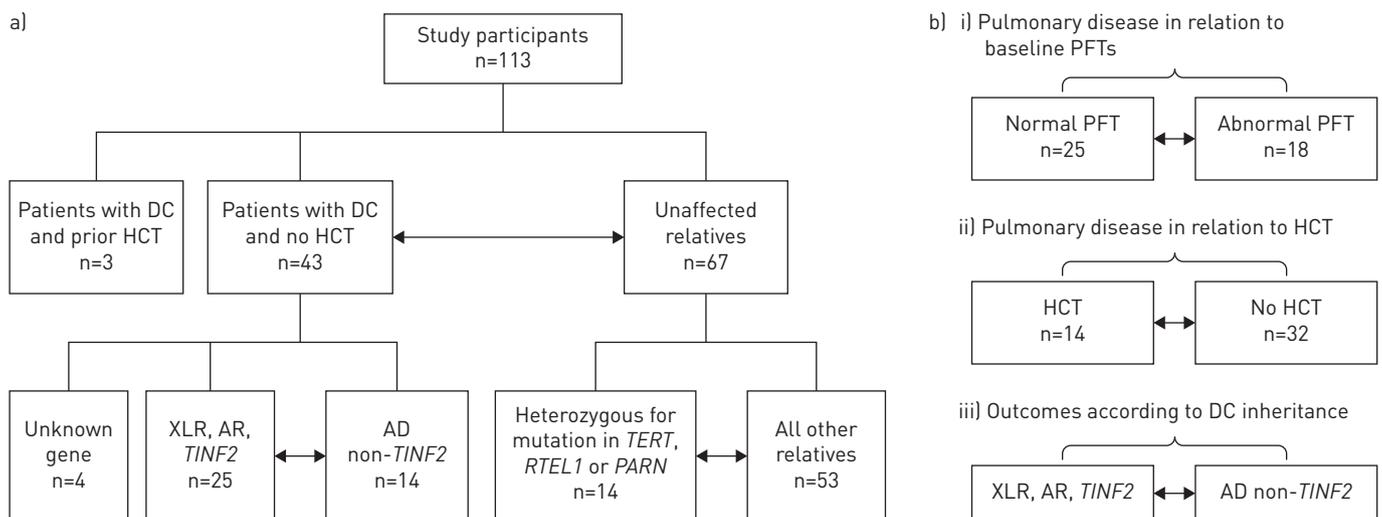


FIGURE 1 Study schema indicating the comparison groups. a) Analyses of pulmonary function tests (PFTs) and groups. b) Analyses of patient outcomes in relation to PFT. DC: dyskeratosis congenita; HCT: haematopoietic cell transplantation; XLR: X-linked recessive; AR: autosomal recessive; AD: autosomal dominant.

Results

Participant characteristics

The participant demographics are described in table 1. Patients with DC were younger ($p=0.0001$), and more likely to be male ($p=0.001$) than their unaffected relatives. Patients with AR, XLR, and *TINF2* DC developed disease features earlier, and were younger compared with AD non-*TINF2* DC at evaluation ($p<0.0001$ and $p=0.002$, respectively), had more complex DC-related clinical findings ($p<0.05$), and significantly lower telomere length Z-scores ($p=0.0007$). Heterozygous carrier relatives with variants in *RTEL1*, *TERT*, and *PARN* ($n=14$) had similar demographics and telomere length Z-scores as the other 53 relatives.

PFT results

Patients

A total of 12 of 43 patients (28%) with DC had baseline PFT abnormalities according to spirometry and lung volume studies (table 2 and table S1). Overall, two patients had moderate or severe obstructive patterns with FEV₁ at 68% and 48% of predicted, respectively, and 10 patients had a restrictive pattern with FVC and/or TLC below 95% of predicted. A total of 23 patients had mild D_{LCO} changes ($D_{LCO} < LLN-60\%$), and 13 had moderate ($D_{LCO} < 60-40\%$) or severe diffusion abnormalities ($D_{LCO} < 40\%$). Moderate or severe D_{LCO} abnormalities were associated with an abnormal spirometry pattern (OR 7.2, 95% CI 1.5–33; $p=0.019$) that was mainly restrictive but mild D_{LCO} changes were not (table S2). Overall, 18 patients (42%) had significant PFT abnormalities with either an obstructive or a restrictive pattern on spirometry and/or moderate-to-severe diffusion defect on D_{LCO} . The PFT results of patients with AR, XLR and *TINF2* DC were similar to those with AD non-*TINF2* DC.

Unaffected relatives

Overall, 11 of 67 relatives (16%) had abnormal spirometry findings (table 2); six relatives had a mild obstructive pattern with FEV₁ ranging from 70% to 84% of predicted. One chronic heavy smoker had a moderately severe obstructive pattern with FEV₁ at 55% of predicted, and four had a mild restrictive pattern. In total, 33 relatives had a borderline-to-mild decrease in D_{LCO} and five had moderate D_{LCO} abnormalities (43% to 59% predicted). In all, 12 relatives (18%) had an abnormal spirometry pattern and/or moderately reduced D_{LCO} . The PFTs of relatives with heterozygous variants in *RTEL1*, *TERT* or *PARN* and all other relatives were similar (table 2).

When comparing patients with relatives, there was no difference in the frequency of obstructive abnormalities between patients with DC and their relatives (5% versus 10%; $p=0.47$), but restrictive abnormalities were significantly more prevalent in the patients (23% versus 6%; $p=0.016$) (table 2). A significantly higher proportion of patients had a moderate or severe reduction in D_{LCO} compared with relatives (29% versus 7%; $p=0.003$). Overall, abnormal spirometry at baseline and/or moderate or severe D_{LCO} abnormalities were more frequent in patients with DC than in their relatives (42% versus 12%; $p=0.008$).

Association of PFTs with clinical features

Significant associations from univariate analysis are presented in table S3. In multivariable models, the presence of a PFT abnormality was associated with severe BMF (OR 17; 95% CI 1.8–165; $p=0.01$), and with a history of smoking (OR 70.85; 95% CI 1.8 to >999; $p=0.02$) but not with any other feature tested (table 3).

Baseline PFT and subsequent pulmonary disease

Overall, 10 of the 43 patients with DC (23%) developed symptomatic pulmonary disease at a median of 5 years (range 0–10) from the baseline PFT studies; six had PF identified on HRCTs (table 4), three had evidence of PAVMs on TTCE in addition to PF on HRCT, and one had AVMs without fibrosis (table 4). The HRCT pattern was consistent with UIP in six patients (figure 2 shows representative HRCT scans of NCI-6-1 with progressive disease over time). Overall, two patients had HRCT findings consistent with nonspecific interstitial pneumonitis (NSIP), and one had hypersensitive pneumonitis (table 4).

Categorisation by baseline PFTs showed that eight of 18 patients with an abnormal baseline PFTs developed pulmonary disease compared with two of 25 patients with a normal baseline PFTs ($p=0.009$). Of note, one patient (NCI-329-1) with an abnormal baseline PFT had a diagnosis of PF for 4 years prior to the study and two patients (NCI-114-1 and NCI-350-1) were diagnosed with PF by HRCT at the time of baseline evaluations due to symptoms of exertional dyspnoea and an intermittent dry cough (table 4). The cumulative incidence of subsequent pulmonary disease in those free of pulmonary events at baseline was 55% (95% CI 28–100%) by age 20 years in patients with abnormal baseline PFTs versus 17% (95% CI 3–100%) in those with normal PFTs (figure 3; $p=0.02$). None of the 67 relatives had pulmonary disease at a median follow-up of 8 years (range 1–14).

TABLE 1 Characteristics of study participants

	Patients with DC				Unaffected relatives			Patients versus relatives p-value
	All	XLR, AR, <i>TINF2</i> [#]	AD non- <i>TINF2</i> [#]	p-value	All	Carriers of <i>RTEL1</i> , <i>TERT</i> or <i>PARN</i>	All others	
Participants	43	25	14		67	14	53	
Age at diagnosis years	13 [1–65]	11 [1–43]	32 [12–65]	<0.0001				
Age at study years	21 [6–69]	18 [6–42]	35 [12–69]	0.002	46 [10–60]	46 [10–60]	36 [7–64]	0.0001
Male/female	31/12	21/4	7/7	0.03	25/42	6/8	19/34	0.001
Smoker	7 (15%)	3	4	0.2	10 (15%)	3 (21%)	7 (13%)	0.8
Ethnicity								
Caucasian	40	24	12		63	14	49	0.7
African	2	1	1		0	0	0	
Hispanic	0	0	0		1	0	1	
Asian	1	0	1		3	0	3	
Microcephaly	11	11	0	0.003	0	0	0	
HH/RS	8	8	0	0.03	0	0	0	
DC triad features[¶]								
0–1	20	8	11	0.008	63	14	53	
≥2	23	17	3		0	0	0	
Bone marrow failure[*]								
None	12	7	5	0.2	0	0	0	
Moderate	13	4	5					
Severe	18	14	4					
Telomere length Z-score	–3.9	–4.5 [–1.3––6.7]	–3.1 [–1.1––5.5]	0.0007	–0.9 [–1.8––4.2]	–1.9 [–0.3––1.9]	–0.7 [–0.7––4.2]	<10^{–9}
DC gene (inheritance)								
<i>DKC1</i> (XLR)	7	7	0		7	0	7	
<i>RTEL1</i> (AR or AD)	7	6	1		8	8	0	
<i>PARN</i> (AR)	3	3	0		5	5	0	
<i>WRAP53</i> (AR)	0	0	0		3	0	3	
<i>ACD</i> (AR)	0	0	0		2	0	2	
<i>TERT</i> (AR or AD)	6	1	5		1	1	0	
<i>TINF2</i> (AD)	8	8	0		0	0	0	
<i>TERC</i> (AD)	8	0	8		0	0	0	
Unknown	4	0	0		15	0	15	
Negative	0				26	0	26	

Data are presented as median (range) unless otherwise stated. DC: dyskeratosis congenita; XLR: X-linked recessive; AR: autosomal recessive; AD: autosomal dominant; HH: Hoyeraal–Hreidarsson syndrome; RS: Revesz syndrome. [#]: the number of patients with XLR/AR/*TINF2* and AD non-*TINF2* do not add up to the total of 43 because the causative gene was not identified in four patients; [¶]: oral leukoplakia, dysplastic nails and abnormal skin pigmentation; ^{*}: “moderate” was defined as single or multilineage cytopenia not on treatment, and “severe” was cytopenia needing treatment. Significant p-values (<0.05) are in bold.

TABLE 2 Pulmonary function tests (PFTs) in study participants

PFT abnormalities	Patients with DC				Unaffected relatives				Patients versus relatives	
	All	XLR, AR, <i>TINF2</i>	AD non- <i>TINF2</i>	p-value	All	<i>RTEL1</i> , <i>TERT</i> , <i>PARN</i>	All others	p-value	OR (95% CI)	p-value
Number with spirometry[#]	43	25	14		67	14	53			
Spirometry abnormal	12	8	4	1.0	11	1	10	0.4	1.97 (0.77–4.9)	0.159
Obstructive	2	1	1	1.0	7	1	6	1.0	0.4 (0.08–2)	0.47
Restrictive	10	7	3	0.7	4	0	4	0.6	4.7 (1.3–16.3)	0.016
Mixed	0	0	0		0	0	0			
Number with D_{LCO} data	42	24	14	1.0	66	14	52			
Mild D_{LCO} change	23	12	8	0.7	33	5	28	0.4	1.2 (0.5–2.6)	0.695
Moderate D_{LCO} abnormality	11	8	3	1.0	5	2	3	0.3	5.4 (1.7–16.7)	0.003
Severe D_{LCO} abnormality	2	1	1		0	0	0			
Abnormal spirometry and/or moderate/severe D_{LCO} reduction	18	12	6	1.0	12	2	10	1.0	3.3 (1.3–7.8)	0.008

DC: dyskeratosis congenita; XLR: X-linked recessive; AR: autosomal recessive; AD: autosomal dominant; D_{LCO} : diffusing capacity of the lung for carbon monoxide. [#]: the number of patients with XLR/AR/*TINF2* and AD non-*TINF2* do not add up to the total of 43 because the causative gene was not identified in four patients. Significant odds ratios and p-values are in bold.

Pulmonary disease in relation to HCT

In total, 11 of 43 patients underwent HCT within 1–7 years (median 2 years) after baseline PFTs. The three additional patients who had undergone HCT prior to PFTs are included in the following analyses. Overall, seven of these 14 post-HCT patients developed symptomatic pulmonary disease at a median of 4.7 years (range 0.7–12) after the HCT (table S4). The median age at HCT was 12.9 years (range 3.2–29) and the median age at pulmonary disease was 15 years (range 11–22). Overall, four patients had PF alone, two had PF plus PAVMs, and one had AVM without PF (table 4). In contrast, five of 32 patients with DC who did not have HCT (16%) became symptomatic with pulmonary disease at 21, 54, 56, 59 and 65 years of age; the patients >50 years old had PF, whereas the 21-year-old patient had PF plus PAVMs. The risk of pulmonary disease was higher among those with than without HCT (OR 7.7; CI 1.7–33; $p=0.027$). Additionally, pulmonary disease developed at younger ages in post-HCT patients ($p=0.009$), included both PF and PAVMs, and majority of these patients (12/14) had XLR/AR or *TINF2* DC. The non-HCT patients mainly developed PF that occurred at older ages (table S4).

Pulmonary disease and genetic aetiology

In the competing risk analysis with censoring at the earliest event that included HCT, pulmonary disease or death, the first adverse event in patients with AR, XLR or *TINF2* DC was HCT for severe BMF (12 patients) with a cumulative incidence of 50% (95% CI 33–77%) by age 30 years. Death occurred in two

TABLE 3 Factors associated with pulmonary function test abnormalities

Factors evaluated	OR (95% CI)	p-value
DC inheritance: AD non-<i>TINF2</i> versus AR/XLR/<i>TINF2</i>	0.04 (<0.001–1.57)	0.08
DC triad features: 2 or 3 versus 0–1	0.90 (0.12–6.55)	0.92
Smoker versus nonsmoker	70.85 (1.84–>999.999)	0.02
Sex: male versus female	0.25 (0.02–2.70)	0.25
Age in years at PFT	1.11 (0.99–1.24)	0.07
Lymphocyte telomere Z-score	0.63 (0.20–1.94)	0.42
BMF: severe versus none or moderate	17.36 (1.82–165.30)	0.01

The multivariable regression model used includes dyskeratosis congenita (DC) inheritance (autosomal recessive (AR)/X-linked recessive (XLR)/*TINF2* versus autosomal dominant (AD) non-*TINF2*), DC triad (0–1 versus 2–3), smoking, sex, age at pulmonary function testing (PFT) (integer), lymphocyte telomere Z-score, and bone marrow failure (BMF) (severe versus none/moderate). Overall, 38 patients were included in the multivariable regression model; five patients were excluded (four with unknown gene status and one without telomere length Z-score data). Significant p-values are in bold.

TABLE 4 Features of patients with dyskeratosis congenita and pulmonary disease

NCI UPN	Gene	Age at diagnosis years	Age at PFT years	D_{LCO}	Spirometry	Age at HCT years	HCT regimen	Age at PD years	Pulmonary symptoms	HRCT scan lungs	AVM	Outcome
160-1	TINF2	3.6	6.6	65%	Restrictive	9.5	Cy/Flu/TBI	12	Dyspnoea, ↓ S_{O_2}	Bibasilar fibrosis progressed over 2 years with features of UIP	No	Died from pulmonary fibrosis, age 14 years
216-1	AR-RTEL1	6	8.9	51%	Obstructive	9.1	Cy/Flu/Bu	14	Dyspnoea, ↓ S_{O_2} , worse with exercise	Interstitial changes with ground-glass opacities mainly in apices c/w NSIP; 2 AVMs	Yes, large	Pulmonary AVM coiled at age 17 years; alive age 18 years
145-1	TINF2	3	9.5	62%	Normal	10.9	Cy/Flu/Bu	15	Dyspnoea, ↓ S_{O_2}	Normal	Yes, liver	Died from HPS, age 16 years
87-1	DKC1	3	10.6	49%	Restrictive	16.2	Cy/Flu/TBI	17	Cough, air hunger	Patchy ground-glass opacities with honeycombing c/w UIP	No	Died from pulmonary fibrosis, age 18 years
297-2	AR-RTEL1	14	18.3	61%	Restrictive	19.3	Flu/C/TBI	22	Dyspnoea, ↓ S_{O_2}	Numerous small micronodular opacities c/w hypersensitive pneumonitis; linear opacities c/w mild interstitial fibrosis	Yes, portal	Alive with pulmonary symptoms, age 23 years
204-1 [#]	TINF2	3	12.7	<40%	Restrictive	4.2	Cy/ATG	11	Cough, air hunger	Multifocal areas of reticular and ground-glass opacities with honeycombing c/w UIP	No	Lung transplant age 13 years; died from oral cancer, age 19 years
231-1 [#]	UK	3	9.8	53%	Restrictive	3.9	Cy/Flu/TBI	14	Cough, dyspnoea, air hunger	Patchy diffuse ground-glass opacities c/w NSIP pattern	No	Died from pulmonary fibrosis, age 17 years
291-1 [¶]	PARN	13	19.2	25%	Restrictive			21	Clubbing, ↓ S_{O_2}	Increased reticular markings with architectural distortion in upper lung zones suggestive of NSIP	Yes, portal	Underwent HCT at age 22 years; alive with pulmonary symptoms, age 25 years
6-1	TERC	28	44.6	88%	Restrictive			54	Cough, dyspnoea, ↓ S_{O_2}	Peripheral interstitial and ground-glass opacities with honeycombing in bases bilaterally c/w UIP	No	Alive on supplemental O_2 , age 58 years
114-1	TERC	34	56.7	57%	Restrictive			56.7	Dyspnoea on exertion	Progressive polygonal and reticular opacities both lung bases and upper lobes c/w UIP		Alive, has dyspnoea and ↓ S_{O_2} on exertion, age 59 years
350-1 [¶]	TERC	44	59.5	61%	Obstructive			59.54	Cough, dyspnoea, ↓ S_{O_2}	Decreased lung volume; both lower lobes with increased interstitial markings in the periphery with areas of honeycombing (UIP and NSIP pattern)	No	Underwent HCT at age 63 years; died from HCT complications
329-1	TERT	65	69	34%	Restrictive			65	Dyspnoea, ↓ S_{O_2}	Extensive areas of honeycombing and peripheral fibrosis more marked in lung bases c/w UIP	No	Died from pulmonary fibrosis, age 69 years

NCI UPN: National Cancer Institute unique patient number; PFT: pulmonary function test; D_{LCO} : diffusing capacity of the lung for carbon monoxide; HCT: haematopoietic cell transplantation; PD: pulmonary disease; HRCT: high-resolution computed tomography; AVM: arteriovenous malformation; AR: autosomal recessive; UK: unknown; Cy: cyclophosphamide; Flu: fludarabine; TBI: total body irradiation; Bu: busulfan; ATG: antithymocyte globulin; S_{O_2} : oxygen saturation; UIP: usual interstitial pneumonia; c/w: consistent with; NSIP: nonspecific interstitial pneumonia; HPS: hepatopulmonary syndrome. [#]: underwent HCT prior to PFT evaluation; [¶]: underwent HCT after the diagnosis of AVM and interstitial fibrosis.

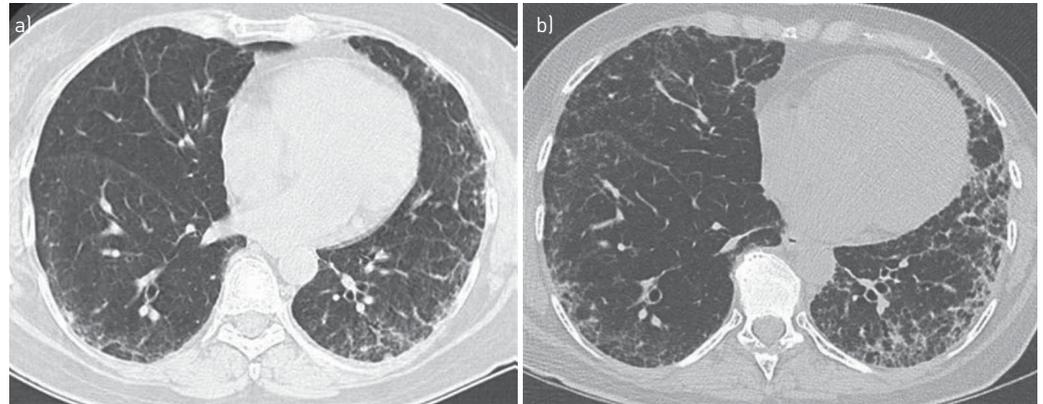


FIGURE 2 High-resolution computed tomography (CT) scan of the lungs (patient NCI-6-1). a) Peripheral interstitial and ground-glass opacities with early honeycombing in bases bilaterally consistent with usual interstitial pneumonia pattern of pulmonary fibrosis in a 54-year-old female with heterozygous *TERC* mutation and symptoms of dyspnoea on exertion, impaired flows and diffusion with diffusing capacity of the lung for carbon monoxide (D_{LCO}) 57% of predicted. b) The patient's symptoms had progressed 3 years later with dry cough, progressive dyspnoea, basal crackles and desaturation to 85% on 6-minute walk test; restrictive ventilatory defect and D_{LCO} at 50%. The CT scan shows an increase in peripheral subpleural fibrosis. The advanced fibrosis in the left lung is also slightly worsened.

patients (one from BMF-related complications at age 19 years and one from rectal adenocarcinoma at age 37 years), and PF plus PAVMs in one patient at age 20 years (figure 4a). However, six of the 12 patients with HCT as a first event, subsequently developed pulmonary disease at a median of 3 years after HCT (range 0.7–7). In AD non-*TINF2* DC, HCT was the earliest event in only one patient (age 20 years), whereas four patients developed PF with a cumulative incidence of 70% (95% CI 39–100%) by age 60 years (figure 4b). Regardless of the HCT status, clinically symptomatic pulmonary disease developed in 26% of patients with AR, XLR or *TINF2* DC and in 29% of patients with AD non-*TINF2* DC (29%) albeit across different age ranges (median age 15 years *versus* 58 years, respectively), different types (PF and/or PAVMs *versus* PF, respectively) and under different settings (post-HCT and no HCT, respectively).

Outcome of patients with DC and pulmonary disease

The clinical details and outcomes of patients with pulmonary disease are shown in table 4. One patient with severe hypoxaemia due to a large PAVM (NCI-216-1) underwent transcatheter coil embolisation at

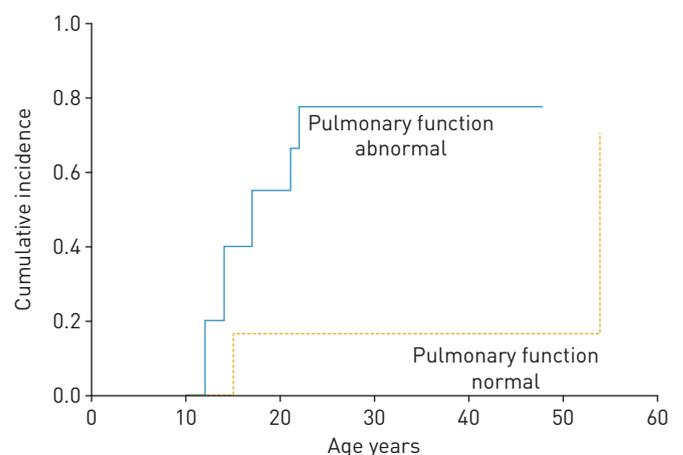


FIGURE 3 Cumulative incidence of pulmonary disease in patients with normal *versus* abnormal baseline pulmonary function tests (PFTs). The graph starts at the age at PFT and ends at the diagnosis of pulmonary disease, death or last follow-up. The three patients with incident pulmonary disease at the time of baseline PFT were excluded from the graph. The blue line indicates patients with abnormal baseline PFTs (n=15; five patients developed pulmonary disease 2–7 years after the PFTs). The yellow dashed line depicts patients with normal baseline PFT (n=25; two patients were diagnosed with symptomatic pulmonary disease at 2 and 10 years after the baseline PFTs). The cumulative incidence of pulmonary disease by age 20 years was 55% [95% CI 28–100%] in patients with abnormal baseline PFT *versus* 17% [95% CI 3–100%] in those with normal PFTs (overall $p=0.02$).

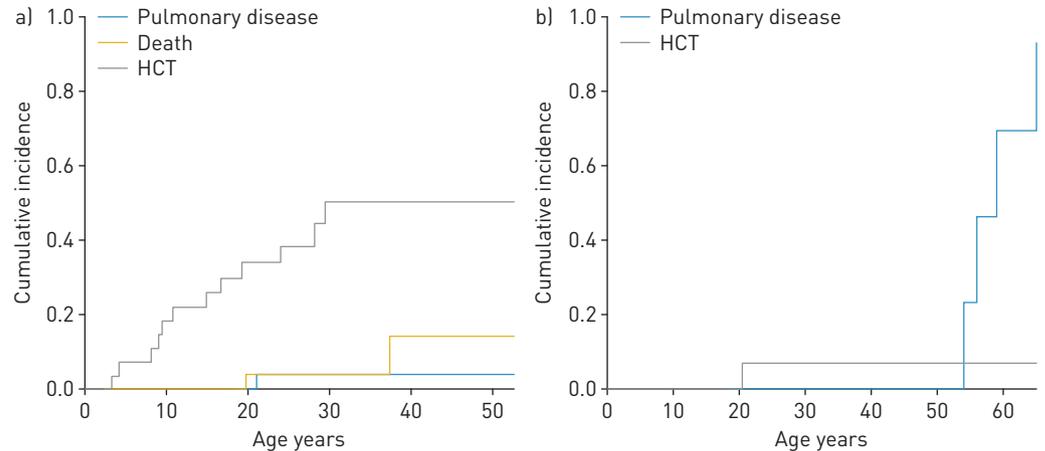


FIGURE 4 Competing risk of adverse events including pulmonary disease, haematopoietic cell transplantation (HCT), and death. a) autosomal recessive/X-linked recessive and *TINF2* dyskeratosis congenita (DC). The first event was HCT for severe bone marrow failure in 12 patients (grey line) with a cumulative incidence of 50% [95% CI 33–77%] by age 30 years; two patients died at age 19 and 37 years from other causes (yellow line) and one developed pulmonary fibrosis plus pulmonary arteriovenous malformations at age 20 years (blue line). b) autosomal dominant non-*TINF2* DC. In all, one patient received HCT, whereas four patients developed pulmonary fibrosis with a cumulative incidence of 70% [95% CI 39–100%] by age 60 years among non-HCT patients.

age 17 years and is alive with improvement in her pulmonary status. Another patient with PF (NCI-204-1) underwent successful bilateral lung transplantation at age 13 years, 2 years after the diagnosis of PF [16]. He died 6 years later, from metastatic squamous cell carcinoma of the tongue. In all, seven of 12 patients with symptomatic pulmonary disease have died at a median interval of 2.3 years (range 0.96–4.2) from the diagnosis of pulmonary disease. The rate of progression from the diagnosis of pulmonary disease to death (or lung transplant) appeared to be more rapid for patients who underwent HCT than those who did not have HCT (median interval 2.1 years, range 0.96–3.45 versus 4.19, respectively; $p=0.007$). The median follow-up of the five patients living with pulmonary disease was 3.9 years (range 1.8–4.6) from the diagnosis of pulmonary disease.

Discussion

This study of baseline PFTs in patients with DC/TBD found that abnormalities were common even in the absence of overt pulmonary symptoms. Notably, both restrictive and obstructive changes on spirometry as well as reduced alveolar–capillary gas exchange were present in more than 40% of patients with DC. Baseline PFT abnormalities in patients with DC may signify early lung involvement due to their inherently high risk of PF [29, 30]. Some patients with DC with pulmonary involvement may have PAVMs, with or without fibrosis, as previously reported [17, 18].

Restrictive changes on spirometry and moderate/severe impairment of alveolar–capillary gas exchange were significantly more common in patients with DC who developed pulmonary disease. Overall, 14 clinically unaffected relatives were heterozygous carriers of pathogenic variants in *TERT*, *PARN* and *RTEL1*, whereas their relatives had DC due to two pathogenic variants (AR disease). The PFTs in carrier and noncarrier relatives were similar, and neither group has developed pulmonary disease, to date. However, the carrier relatives in our study were young (median age of 46 years) and had not reached the age at which PF is generally reported [31]. In a recent study of 115 individuals with familial PF, the mean age of PF in heterozygous carriers of *TERT* variants was 58 years, *RTEL1* was 60 years, and *PARN* was 65 years [31]. Prospective follow-up of the carrier relatives in our study is underway.

DC is a TBD with complex multisystem manifestations resulting in a clinically severe disease phenotype [1, 6, 7, 32–35]. Most of our patients with XLR, AR, and *TINF2* DC presented in infancy or childhood with complex phenotypes including HH and RS and had extremely short telomeres compared with patients with AD non-*TINF2* DC. Although we found a similar frequency of baseline PFT abnormalities and severe BMF in patients with XLR, AR and *TINF2* DC and in those with AD non-*TINF2* DC, their ages at disease manifestations differed. Notably, abnormal PFTs were not highly correlated with other DC features, inheritance patterns or telomere length Z-scores, perhaps for the same reason.

Patients with clinically symptomatic PF had a rapidly progressive disease with a short survival regardless of the gene involved or whether PF developed after HCT. While we acknowledge that our study was limited by small numbers, potential confounding effects of HCT in patients with severe BMF, and lack of

longitudinal PFT data, our findings were consistent with studies also reporting a rapid rate of decline in pulmonary function in patients with pathogenic variants in telomere biology genes, with a mean survival of 2–5 years in clinically symptomatic patients [31, 36]. The high incidence of PF in patients with DC after HCT is also consistent with prior reports [16, 37]. Since HCT is the definitive treatment for BMF in these patients, nonmyeloablative radiation-free conditioning regimens are recommended to limit tissue injury to lungs [38, 39].

In summary, patients with DC often have asymptomatic PFT abnormalities that are associated with progression to PF occurring at young ages in patients with XLR, AR and *TINF2* DC and after HCT. PFTs are an important part of the baseline evaluation for all patients with DC and related TBDs and should be performed at regular intervals. Patients and caregivers should be counselled to avoid smoking and medications associated with PF. Early referral to expert TBD clinicians and lung transplant centres is recommended if pulmonary symptoms develop. The frequent occurrence of PF in TBDs and its rapid progression after symptoms develop, highlight the urgent need for large, collaborative studies into the underlying mechanisms, prevention and development of therapeutics.

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