



Limited recovery from post-acute sequelae of SARS-CoV-2 at 8 months in a prospective cohort

Copyright ©The authors 2021

This version is distributed under the terms of the Creative Commons Attribution Non-Commercial Licence 4.0. For commercial reproduction rights and permissions contact permissions@ersnet.org

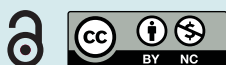
Received: 17 Aug 2021
Accepted: 19 Aug 2021

To the Editor:

Global attention is gradually turning to focus on the problem of prolonged illness following acute coronavirus disease 2019 (COVID-19), commonly termed “Long COVID” or post-acute sequelae of SARS-CoV-2 infection (PASC). While an increasing number of reports now recognise this condition, accurate characterisation of its prevalence, clinical features and natural history is complicated by choice of denominator population, lack of case definition and marked self-selection bias. Nevertheless, a picture is emerging of a syndrome characterised predominantly by fatigue, dyspnoea, chest tightness and “brain fog” present in around 10–30% of individuals at 2–3 months post-acute infection and affecting both those with initial severe illness and those in whom acute infection was mild [1–3].

In April 2020 we commenced a prospective observational cohort study (ADAPT) following all patients with a positive severe acute respiratory syndrome coronavirus 2 (SARS-CoV-2) RNA test through our hospital testing centres. At a median of 79 days post-infection, 40% reported persistent symptoms including a high proportion of those managed in the community for the initial infection [4]. We now report further 8-month post-infection follow-up to characterise persistence of symptoms, measures of health-related quality of life (HRQoL) and recovery, and to assess within-individual changes in measures of psychological and somatic dysfunction.

The ADAPT study is a prospective cohort at St Vincent’s Hospital Sydney, with nasopharyngeal swab-confirmed SARS-CoV-2 infection, being followed for up to 24 months [4]. Data from the 4- and 8-month assessments for patients with an initial positive PCR from 9 March 2020 until 28 April 2020 were analysed. This cohort includes both patients who were diagnosed at St Vincent’s Hospital testing clinics (internal) and self-referred patients (external). Standardised case report forms were used to collect specific symptoms at 4- and 8-months after infection. Patients were classified as “Long COVID” if ≥ 1 of the following persistent symptoms were reported: fatigue, dyspnoea, or chest pain. The Somatic and Psychologic Health Report-34 item (SPHERE-34) is a self-report questionnaire containing 34 questions surveying mental distress and persistent fatigue, validated as a screening tool for mental health in a variety of Australian populations [5, 6]. Three sub-scales measuring anxiety–depression, somatic distress, and persistent fatigue are scored to produce total and somatic (SOMA) and psychological (PSYCH) subscale scores. Key somatic symptoms include muscle pain or tiredness after activity, needing to sleep longer or poor sleep, and prolonged tiredness after activity. A visual analogue scale for fatigue (VAS-F) and the Medical Research Council (MRC) dyspnoea scale, to compare breathlessness pre-COVID and at 8-months, were performed [7, 8]. Functional recovery was interrogated on a five-point Likert scale. Data distribution was tested using the Shapiro–Wilk test. Descriptive statistics were summarised by mean \pm SD or median with interquartile range (IQR) for continuous variables, and counts (%) for categorical variables. The Wilcoxon matched-pairs signed rank test and Fisher’s exact test were used to compare medians and proportions. Multivariable logistic regression analysis was performed to identify independent predictors of major symptoms at 8-month follow-up. Statistical analyses were performed using Prism Version 8.4.3 (GraphPad Software, San Diego, CA, USA). Statistical significance was set at a 2-sided level of 0.05. Patients were not involved in the design, conduct, reporting or dissemination plans of this research.



Shareable abstract (@ERSpublications)

In a longitudinal cohort, a significant proportion of patients had persistent symptoms 8 months after initial #COVID19 infection. There was no significant improvement in symptoms or health-related quality of life between 4- and 8-month assessments. <https://bit.ly/2Wtb7IX>

Cite this article as: Darley DR, Dore GJ, Byrne AL, *et al.* Limited recovery from post-acute sequelae of SARS-CoV-2 at 8 months in a prospective cohort. *ERJ Open Res* 2021; 7: 00384-2021 [DOI: 10.1183/23120541.00384-2021].

A total of 99 patients underwent 8-month assessment at a median of 240 days (IQR 227–256) after positive SARS-CoV-2 PCR. Of these, 66 patients were diagnosed at St Vincent’s Hospital testing clinics and 33 patients were self-referred following external diagnosis. 15 patients originally enrolled in ADAPT did not attend (n=6), were lost to follow-up (n=7), or withdrew consent (n=2). The majority (87%) of patients were managed in the community. Median age was 47 years (IQR 35–58), with 61% males.

To minimise self-selection bias, prevalence of symptoms at 8 months is reported for patients diagnosed at St Vincent’s Hospital testing clinics only. 26 (40%) patients had one or more symptoms (new or persistent) at 8-months (figure 1). The most common symptoms were fatigue (23%) and shortness of breath (11%). In a sensitivity analysis, assuming all patients who were lost to follow-up had fully recovered, the proportion of symptomatic patients at month 8 was 32% (26/81) with 19% (15/81) meeting our definition for Long COVID. In all subsequent analyses all patients (St Vincent’s Hospital diagnosed and external) in our cohort were included.

92 participants completed a study assessment at both 4 and 8 months. There was no significant difference in the proportion of patients reporting symptoms at 4 months (41/92, 45%) and 8 months (47/92, 51%) ($p=0.47$). There was no significant difference in the proportion of patients with Long COVID at 4 months (27/92, 29%) compared with 8 months (32/92, 35%) ($p=0.53$).

In univariable logistic regression analysis, female gender (OR 2.7, 95% CI 1.2–6.3, $p=0.02$) was associated with major symptoms (Long COVID) at 8-month follow-up. In multivariable analysis, female gender (OR 3.2, 95% CI 1.3–7.8, $p=0.01$) and acute COVID-19 hospitalisation (OR 3.8, 95% CI 1.1–13.6, $p=0.04$) were both independently associated with Long COVID at 8-month follow-up.

A total of 97 patients underwent SPHERE-34 testing at the 8-month assessment involving measures of both somatic and psychological (cognitive) symptoms: 27% of patients reported poor memory a good part of, or most of the time; 33% of patients reported poor concentration a good part of, or most of the time; and 18% of patients reported feeling lost for the word a good part of, or most of the time.

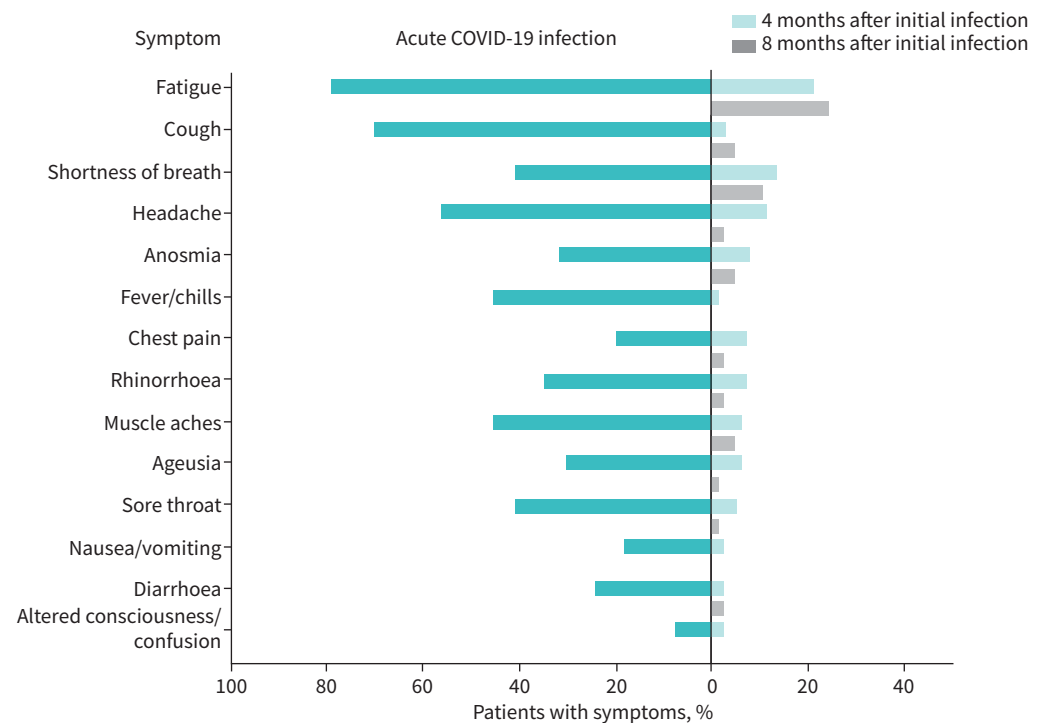


FIGURE 1 Proportion of patients with symptoms at acute coronavirus disease 2019 (COVID-19) infection compared with 4 months and 8 months after assessment (n=66).

To understand the evolving nature of these symptoms over time, we further evaluated changes in measurements between 4 and 8 months.

Complete paired SPHERE-34 at both timepoints, were available in 88 patients. For each patient, the within-individual change in SPHERE-34 was calculated as the 8-month value minus the 4-month value. The median intersession change in SPHERE-34 total score was 0.0 (IQR $-3.8-2.0$). There were no significant differences in the median total SPHERE-34 scores between 4 months (4.5, IQR 1.0–12.0) and 8 months (4.0, IQR 0.0–10.0) ($p=0.19$). There were no significant differences in the mean SOMA scores between 4 and 8 months, nor in the proportion of patients with abnormal SOMA scores. There was a significant difference in the mean \pm SD PSYCH score between 4 months (1.7 ± 2.4) and 8 months (1.0 ± 1.9) ($p=0.03$). There was a trend to decreasing proportions of abnormal PSYCH scores between 4 and 8 months.

98 patients underwent assessment for functional recovery at 8 months. 28/98 (29%) patients reported an increase in dyspnoea, as measured by the MRC dyspnoea scale from pre-COVID-19 levels. The median VAS-F score was 2.0 (IQR 0.38–5.0). With regards to COVID-19 recovery 78/98 (80%) agreed they had fully recovered, 88/98 (90%) agreed they felt confident returning to pre-COVID-19 work, 89/98 (91%) agreed they had returned to usual activities of daily living, while 76/98 (78%) agreed they had returned to normal exercise levels. In all measures, recovery was significantly lower among patients with Long COVID, with only 54% agreeing that they had recovered from COVID-19.

The spectrum of long-term recovery following SARS-CoV-2 infection remains uncertain. Our study documents the longitudinal nature and prevalence of persistent symptoms and the effect on HRQoL and delivers potentially concerning findings. At a median of 8 months after infection, even when self-referred patients were excluded, a third had persistent symptoms. A fifth of patients could be classified as having “Long COVID” and there appears to be minimal improvement between 4 and 8 months after infection, including no significant differences in the total scores or somatic subscales of SPHERE-34. In the total cohort, we observed a significant difference in SPHERE-34 mean psychological sub-scale scores indicating some improvement in psychological symptoms. Concerningly, a considerable proportion of (~20%) the total cohort did not feel confident returning to pre-COVID-19 work, had not returned to usual activities of daily living or had not returned to their normal exercise level.

The aetiology of persisting symptoms following SARS-CoV2 infection is likely to be multifactorial. Encompassing both prolonged recovery from persisting cardiothoracic damage, as has been demonstrated by us and others [4, 9, 10], and a more ill-defined syndrome with some features akin to chronic fatigue syndrome/myalgic encephalomyelitis [11]. This latter illness, commonly characterised by intense fatigue and cognitive dysfunction (“brain fog”) has been variably reported following other viral infections [12].

Female gender and hospitalisation during initial infection were both independently associated with an increased risk of Long COVID in our cohort. Recovery from illness causing severe pneumonia and/or an intensive care stay is often prolonged and well documented to last many months [13, 14]. The relationship with female gender is less well explained, although confirmed in other cohorts including a large app-based study of >4000 individuals [1]. Whether this relates to a higher risk of viral-induced immune dysregulation and autoimmunity, differences in health care utilisation, or some other mechanism is unclear. Further research is required to understand physiological correlates of functional recovery and the role of rehabilitation interventions to assist patients with exercise capacity and dyspnoea [15].

A key strength of our study is the longitudinal assessment of symptoms, HRQoL and functional recovery performed across the spectrum of study participants at 4 and 8 months. The high rate of ongoing symptoms highlights the long-lasting and persistent nature of post-viral quality of life impact and somatic symptoms that may occur after COVID-19. Our study has several limitations. Our definition for Long COVID is conservative and based on the presence of one or more of three major symptoms of fatigue, shortness of breath or chest tightness. It does not include the full spectrum of symptoms after initial infection and is thus a probable underestimate of the true burden of ill health in this population. A third of patients were referred into the study, the reasons for which varied. In many cases this was due to concern over ongoing symptoms. To address this potential selection bias, we excluded the externally referred patients from the description of symptom prevalence and included them only for outcome analysis where we had longitudinal follow-up to compare within-individual change.

In summary, a considerable proportion of patients experience persistent symptoms after SARS-CoV-2 infection and a fifth of patients met our definition for Long COVID at 8 months. Persistent symptoms

impact HRQoL and there appears to be little change between 4 and 8 months. A significant proportion of patients experience abnormal functional recovery at 8 months. The long-term significance of these findings is unknown.

David Ross Darley^{1,2}, Gregory John Dore^{3,4}, Anthony Luke Byrne^{1,2}, Marshall Lawrence Plit^{1,2}, Bruce James Brew^{2,5}, Anthony Kelleher^{4,6} and Gail Veronica Matthews^{3,4}

¹Dept of Thoracic Medicine, St Vincent's Hospital Darlinghurst, Sydney, Australia. ²UNSW Medicine, St Vincent's Clinical School, University of New South Wales, Sydney, Australia. ³Dept of Infectious Diseases, St Vincent's Hospital Darlinghurst, Sydney, Australia. ⁴Kirby Institute, University of New South Wales, Sydney, Australia. ⁵Dept of Neurology and Peter Duncan Neurosciences Unit, St Vincent's Centre for Applied Medical Research, Sydney Australia. ⁶Dept of Immunology, St Vincent's Hospital Darlinghurst, Sydney, Australia.

Corresponding author: David Ross Darley (David.Darley@svha.org.au)

Acknowledgements: The authors thank the research staff at the St Vincent's Institute for Applied Medical Research.

Provenance: Submitted article, peer reviewed.

This study is registered at <https://www.anzctr.org.au> with identifier number ACTRN12620000554965. We plan to disseminate the results to study participants.

Author contributions: All authors made substantial contributions to the conception and design of the work; the acquisition, analysis and interpretation of data for the work; drafting and revision for intellectual content and final approval of the version for publication. All authors agree to be accountable for all aspects of the work in ensuring that questions related to accuracy or integrity are appropriately investigated and resolved. D.R. Darley (the manuscript's guarantor) affirms that the manuscript is an honest, accurate, and transparent account of the study being reported; that no important aspects of the study have been omitted; and that any discrepancies from the study as planned (and, if relevant, registered) have been explained.

Conflict of interest: D.R. Darley has nothing to disclose. G.J. Dore reports grants from Gilead, Abbvie, Merck and Bristol-Myers Squibb, personal fees from Gilead, Abbvie and Merck, and nonfinancial support from Gilead, Abbvie and Merck, outside the submitted work. A.L. Byrne has nothing to disclose. M.L. Plit has nothing to disclose. B.J. Brew reports grants from St Vincent's Clinic during the conduct of the study; and personal fees from AbbVie, Janssen and Viiv, and grants from Biogen, outside the submitted work. A. Kelleher has nothing to disclose. G.V. Matthews has nothing to disclose.

Support statement: We appreciate grant support from the St Vincent's Clinic Foundation and the Curran Foundation. Funding information for this article has been deposited with the Crossref Funder Registry.

References

- 1 Sudre CH, Murray B, Varsavsky T, *et al.* Attributes and predictors of long COVID. *Nat Med* 2021; 27: 626–631.
- 2 Townsend L, Dyer AH, Jones K, *et al.* Persistent fatigue following SARS-CoV-2 infection is common and independent of severity of initial infection. *PLoS ONE* 2020; 15: e0240784.
- 3 Carfi A, Bernabei R, Landi F, *et al.* Persistent symptoms in patients after acute COVID-19. *JAMA* 2020; 324: 603–605.
- 4 Darley DR, Dore GJ, Cysique L, *et al.* High rate of persistent symptoms up to 4 months after community and hospital-managed SARS-CoV-2 infection. *Med J Aust* 2020; 214: 279–280.
- 5 Hickie IB, Davenport TA, Hadzi-Pavlovic D, *et al.* Development of a simple screening tool for common mental disorders in general practice. *Med J Aust* 2001; 175: S10–S17.
- 6 Couvy-Duchesne B, Davenport TA, Martin NG, *et al.* Validation and psychometric properties of the Somatic and Psychological HEalth REport (SPHERE) in a young Australian-based population sample using non-parametric item response theory. *BMC Psychiatry* 2017; 17: 279.
- 7 Fletcher CM. Standardised questionnaire on respiratory symptoms: a statement prepared and approved by the MRC Committee on the Aetiology of Chronic Bronchitis (MRC breathlessness score). *BMJ* 1960; 2: 1665.
- 8 Lee KA, Hicks G, Nino-Murcia G. Validity and reliability of a scale to assess fatigue. *Psychiatry Res* 1991; 36: 291–298.

- 9 Lerum TV, Aaløkken TM, Brønstad E, *et al.* Dyspnoea, lung function and CT findings three months after hospital admission for COVID-19. *Eur Respir J* 2020; 57: 2003448.
- 10 Sonnweber T, Sahanic S, Pizzini A, *et al.* Cardiopulmonary recovery after COVID-19: an observational prospective multicentre trial. *Eur Respir J* 2020; 56: 2003481.
- 11 Muller AE, Tveito K, Bakken IJ, *et al.* Potential causal factors of CFS/ME: a concise and systematic scoping review of factors researched. *J Transl Med* 2020; 18: 484.
- 12 Rasa S, Nora-Krukke Z, Henning N, *et al.* Chronic viral infections in myalgic encephalomyelitis/chronic fatigue syndrome (ME/CFS). *J Transl Med* 2018; 16: 268.
- 13 Needham DM, Davidson J, Cohen H, *et al.* Improving long-term outcomes after discharge from intensive care unit: report from a stakeholders' conference. *Crit Care Med* 2012; 40: 502–509.
- 14 Iwashyna TJ, Ely EW, Smith DM, *et al.* Long-term cognitive impairment and functional disability among survivors of severe sepsis. *JAMA* 2010; 304: 1787–1794.
- 15 Wootton SL, King M, Alison JA, *et al.* COVID-19 rehabilitation delivered *via* a telehealth pulmonary rehabilitation model: a case series. *Respirol Case Rep* 2020; 8: e00669.